

Area 2: Policy Development and Co-ordination

Principle 11	Policies and legislation are designed in an inclusive manner that enables the active participation of society		
Principle approach	The approach to the monitoring of this principle entails a focus on external consultation processes and leaves out the internal (intra-governmental or cross-ministerial) coordination and consultation processes. The complex indicator combines a number of elements and a 5-point scale will be designed. The approach is fully perception-based, given that SIGMA already conducts an in-depth legal and expert assessment.		
INDICATOR 1	Civil society perception of inclusiveness and openness of policymaking		
Indicator focus and general methodological remarks	<p>The indicator measures the extent and the quality of involvement/contribution of the public to the policy making process through public consultations.</p> <p>Measurement of all elements of this indicator is based on a widely disseminated online survey of CSOs to collect their perception by answering questions for each element below. The survey is performed on a sample which aims to ensure a representation of CSOs working in as many research areas as possible, so the sample is as representative as possible.</p> <p>Focus groups are used to inform the narrative report with qualitative findings, but not for the calculation of indicator values. They are conducted on a sample of 3 policy areas in each country where a substantial number of CSOs/think tanks actively work and perform research and analyses, ensuring that policy areas where institutes and think tanks are active are picked.</p>		
INDICATOR ELEMENTS			
INDICATOR ELEMENTS	Element formulation	Weight	Element data source
E.1	CSOs consider formal consultation procedures create preconditions for effective inclusion of the public in the policy-making process.	2	<ul style="list-style-type: none"> Survey of CSOs Focus groups with CSOs
	Element methodology	Point allocation	

	<p>For this element, the extent of agreement with statement <i>"Formal consultation procedures provide conditions for an effective involvement of the public in policy-making processes"</i> is analysed. The percentage of respondents that answered "Strongly agree" or "Agree" on a verbal five-point scale is measured.</p> <p>Scale used: 1 – Strongly disagree; 2 – Disagree; 3 – Neutral; 4 – Agree; 5 – Strongly agree.</p>		<p>0 points for 0 - 29.9%</p> <p>1 point for 30 - 59.9%</p> <p>2 points for 60 - 100%</p> <p>Maximum points: 4</p>
Element #	Element formulation	Weight	Element data source
E.2	CSOs consider formal consultation procedures are applied consistently	2	<ul style="list-style-type: none"> Survey of CSOs
Element methodology		Point allocation	
	<p>For this element, the extent of agreement with statement <i>"Government institutions consistently apply formal consultation procedures when developing policies within their purview"</i> is analysed. The percentage of respondents that answered "Strongly agree" or "Agree" on a verbal five-point scale is measured.</p> <p>Scale used: 1 – Strongly disagree; 2 – Disagree; 3 – Neutral; 4 – Agree; 5 – Strongly agree.</p>		<p>0 points for 0 - 29.9%</p> <p>1 point for 30 - 59.9%</p> <p>2 points for 60 - 100%</p> <p>Maximum points: 4</p>
Element #	Element formulation	Weight	Element data source
Element E.3	CSOs consider that they are consulted at the early phases of the policy process	2	<ul style="list-style-type: none"> Survey of CSOs
Element methodology		Point allocation	
	<p>For this element, the reported frequency at which the following statement occurs <i>"Relevant government institutions consult CSOs at the early phases of policy and legislative processes (before any draft documents are produced)"</i> is analysed. The percentage of respondents that answered "Always" or "Often" on a verbal five-point scale is measured.</p> <p>Scale: 1 – Never; 2 – Rarely; 3 – Sometimes (in about half of the situations); 4 – Often; 5 – Always.</p>		<p>0 points for 0 - 29.9%</p> <p>1 point for 30 - 59.9%</p> <p>2 points for 60 - 100%</p> <p>Maximum points: 4</p>
Element #	Element formulation	Weight	Element data source
Element E.4	CSOs consider consultees are timely provided with information on the content of legislative or policy proposals	1	<ul style="list-style-type: none"> Survey of CSOs
Element methodology		Point allocation	
	<p>For this element, the extent of agreement with statement <i>"In the public consultation processes, government institutions timely provide information on the content of legislative or policy proposals"</i> is analysed. The percentage of respondents</p>		<p>0 points for 0 - 29.9%</p> <p>1 point for 30 - 59.9%</p> <p>2 points for 60 - 100%</p>

	that answered "Strongly agree" or "Agree" on a verbal five-point scale is measured. Scale used: 1 – Strongly disagree; 2 – Disagree; 3 – Neutral; 4 – Agree; 5 – Strongly agree.		Maximum points: 2
Element #	Element formulation	Weight	Element data source
Element E.5	CSOs consider consultees are provided with adequate information on the content of legislative or policy proposals	1	<ul style="list-style-type: none"> Survey of CSOs
	Element methodology		Point allocation
For this element, the extent of agreement with statement " <i>In the public consultation processes, government institutions provide adequate information on the content of legislative or policy proposals</i> " is analysed. The percentage of respondents that answered "Strongly agree" or "Agree" on a verbal five-point scale is measured. Scale used: 1 – Strongly disagree; 2 – Disagree; 3 – Neutral; 4 – Agree; 5 – Strongly agree.			0 points for 0 - 29.9% 1 point for 30 - 59.9% 2 points for 60 - 100% Maximum points: 2
Element #	Element formulation	Weight	Element data source
Element E.6	CSOs consider public consultation procedures and mechanisms are consistently followed in the consultation processes.	1	<ul style="list-style-type: none"> Survey of CSOs
	Element methodology		Point allocation
For this element, the reported frequency at which the following statement occurs " <i>Legally prescribed public consultation procedures and mechanisms are consistently followed in the consultation processes</i> " is analysed. The percentage of respondents that answered "Always" or "Often" on a verbal five-point scale is measured. Scale: 1 – Never; 2 – Rarely; 3 – Sometimes (in about half of the situations); 4 – Often; 5 – Always.			0 points for 0 - 29.9% 1 point for 30 - 59.9% 2 points for 60 - 100% Maximum points: 2
Element #	Element formulation	Weight	Element data source
Element E.7	CSOs consider sponsoring ministries take actions to ensure that diversity of interests are represented in the consultation processes (women's groups, minority rights groups, trade unions, employers' associations, etc.).	1	<ul style="list-style-type: none"> Survey of CSOs
	Element methodology		Point allocation
For this element, the reported frequency at which the following statement occurs " <i>Relevant ministries ensure that diverse interest groups are represented in the public consultation processes (eg. women, minorities, trade unions, employers' associations etc.)</i> " is analysed. The percentage of respondents that answered "Always" or "Often" on a verbal five-point scale is measured.			0 points for 0 - 29.9% 1 point for 30 - 59.9% 2 points for 60 - 100%

	Scale: 1 – Never; 2 – Rarely; 3 – Sometimes (in about half of the situations); 4 – Often; 5 – Always.		Maximum points: 2
Element #	Element formulation	Weight	Element data source
Element E.8	CSOs consider ministries (sponsors of policy and legislative proposals) provide written feedback on consultees' inputs/comments.	2	<ul style="list-style-type: none"> Survey of CSOs
	Element methodology		Point allocation
For this element, the reported frequency at which the following statement occurs " <i>Relevant ministries provide written feedback to consultees on whether their inputs are accepted or rejected</i> " is analysed. The percentage of respondents that answered "Always" or "Often" on a verbal five-point scale is measured.			0 points for 0 - 29.9%
Scale: 1 – Never; 2 – Rarely; 3 – Sometimes (in about half of the situations); 4 – Often; 5 – Always.			1 point for 30 - 59.9%
			2 points for 60 - 100%
			Maximum points: 4
Element #	Element formulation	Weight	Element data source
Element E.9	CSOs consider ministries (sponsors of policy and legislative proposals) accept consultees' inputs/comments.	2	<ul style="list-style-type: none"> Survey of CSOs
	Element methodology		Point allocation
For this element, the reported frequency at which the following statement occurs " <i>In the consultation process, relevant ministries accept the feedback coming from my organisation</i> " is analysed. The percentage of respondents that answered "Always" or "Often" on a verbal five-point scale is measured.			0 points for 0 - 29.9%
Scale: 1 – Never; 2 – Rarely; 3 – Sometimes (in about half of the situations); 4 – Often; 5 – Always.			1 point for 30 - 59.9%
			2 points for 60 - 100%
			Maximum points: 4
Element #	Element formulation	Weight	Element data source
Element E.10	CSOs consider ministries (sponsors of policy and legislative proposals) hold constructive discussions on how the consultees' views have shaped and influenced policy and final decision of Gov.	1	<ul style="list-style-type: none"> Survey of CSOs
	Element methodology		Point allocation
For this element, the reported frequency at which the following statement occurs " <i>Relevant ministries conduct additional consultations with CSOs outside of the formal scope of public consultations</i> " is analysed. The percentage of respondents that answered "Always" or "Often" on a verbal five-point scale is measured.			0 points for 0 - 29.9%
			1 point for 30 - 59.9%
			2 points for 60 - 100%
			Maximum points: 2

	Scale: 1 – Never; 2 – Rarely; 3 – Sometimes (in about half of the situations); 4 – Often; 5 – Always.					
TOTAL POINTS	0-5	6-10	11-15	16-20	21-25	26-30
FINAL INDICATOR VALUE	0	1	2	3	4	5