

Area 2: Policy Development and Co-ordination

Principle 10	The policy-making and legal-drafting process is evidence-based, and impact assessment is consistently used across ministries		
Principle approach	In view of SIGMA's comprehensive assessment of this principle, the WeBER approach to monitoring this principle will focus on how the policy research and advice accrued outside of the administration, in the policy research community, is used to support evidence-based policymaking.		
INDICATOR 1	Use of evidence created by think tanks, independent institutes and other CSOs in policy development		
Indicator focus and general methodological remarks	<p>This indicator focuses on the extent to which government institutions invite CSOs to prepare evidence-based policy documents and the extent to which evidence produced by them (the data collected and research) is consulted and used in policy development process.</p> <p>Measurement of elements of this indicator combines expert analysis of relevant government documents and an online perception survey of CSOs. The survey is conducted on a sample which aims to ensure a representation of CSOs working in as many research areas, so the sample is as representative as possible. The indicator covers a wide range of CSOs working at policy level, such as think tanks, independent institutes, as well as locally-based organisations which declare themselves as working on the policy level.</p> <p>In addition, to complement survey results with narrative, qualitative inputs, focus groups with CSOs with relevant expertise are conducted based on same questions, on a sample of 3 policy areas in each country where a substantial number of CSOs actively work and perform research and analyses. However, only survey results are used for point allocation for those elements.</p>		
INDICATOR ELEMENTS			
INDICATOR ELEMENTS	Element formulation	Weight	Element data source
E.1	Frequency of referencing of evidence-based findings produced by CSOs in the adopted government policy documents	2	<ul style="list-style-type: none"> Adopted governments documents published in selected policy areas
	Element methodology		Point allocation
	Adopted government documents include strategies, plans, programmes, or other types of documents that are formally adopted, and which can reference information directly.		0 points if no referencing is found or there are no such documents

	<p>Research will be done in 3 policy areas in each country where a substantial number of CSOs actively work and perform research and analyses.</p> <p>First, an assessment is made as to how many policy documents are currently being implemented in each country for selected policy areas. Currently implemented documents are the ones whose timeframe of implementation has not expired. In case there is no clear statement on the timeframe for policy documents, researchers decide in each individual case. Second, the researchers will review all adopted documents produced by government in the 3 chosen policy areas to examine the frequency of CSO reports referenced in such documents.</p>		<p>1 point if occasional referencing is found (up to 50% of examined documents reference CSO findings)</p> <p>2 points if regular referencing is found (over 50% reference CSO findings)</p> <p>Maximum points: 4</p>
Element #	Element formulation	Weight	Element data source
E.2	<p>Frequency of referencing of evidence-based findings produced by CSOs in policy papers and ex ante impact assessments</p>	2	<ul style="list-style-type: none"> Impact assessment documents produced in selected policy areas (submission of FOI requests if necessary)
Element methodology			Point allocation
	<p>Policy papers and impact assessment documents include ex ante regulatory impact assessments (RIAs), other types of ex ante impact assessments, policy concept documents, policy papers (green papers, white papers) as well as annotations/justifications of legislation and policy documents.</p> <p>Research will be done in 3 policy areas in each country where a substantial number of CSOs actively work and perform research and analyses.</p> <p>First, an assessment as to how many of these documents were published in the chosen policy areas over the last 3 years. Second, the researchers will review these documents produced by government in the 3 chosen policy areas to examine the share of CSO reports referenced in such documents.</p>		<p>0 points if no referencing is found or there are no such documents</p> <p>1 point if occasional referencing is found (up to 50% of examined documents reference CSO findings)</p> <p>2 points if regular referencing is found (over 50% reference CSO findings)</p> <p>Maximum points: 4</p>
Element #	Element formulation	Weight	Element data source
Element E.3	<p>Share of evidence-based findings produced by wide range of CSOs, such as think tanks, independent institutes, locally-based organisations, referenced in ex post policy analyses and assessments of government institutions</p>	1	<ul style="list-style-type: none"> Ex post analyses and assessments documents published in the selected policy areas (submission of FOI requests if necessary)
Element methodology			Point allocation
	<p>Ex post analyses and assessments of adopted government documents are examined (of strategies, action plans, legislation, programmes, etc.).</p>		<p>0 points if no referencing is found or there are no such documents</p>

	<p>Research will be done in 3 policy areas in each country where a substantial number of CSOs actively work and perform research and analyses.</p> <p>First, an assessment as to how many of these documents were published in the chosen policy areas over the last 3 years. Then, the researchers will review all adopted documents produced by government in the 3 chosen policy areas to examine the share of CSO reports referenced in such documents.</p>		<p>1 point if occasional referencing is found (up to 50% of examined documents reference CSO findings)</p> <p>2 points if regular referencing is found (over 50% reference CSO findings)</p> <p>Maximum points: 2</p>
Element #	Element formulation	Weight	Element data source
Element E.4	<p>Relevant ministries or other government institutions invite or commission wide range of CSOs, such as think tanks, independent institutes, locally-based organisations, to prepare policy studies, papers or impact assessments for specific policy problems or proposals.</p>	1	Survey of CSOs
Element methodology		Point allocation	
<p>For this element, the extent of agreement with statement "<i>When addressing policy problems or developing policy proposals, government institutions invite my organisation to prepare or submit policy papers, studies or impact assessments</i>" is analysed.</p> <p>The percentage of respondents that answered "Strongly agree" or "Agree" on a verbal five-point scale is measured.</p> <p>Scale used: 1 – Strongly disagree; 2 – Disagree; 3 – Neutral; 4 – Agree; 5 – Strongly agree.</p> <p>Relevant ministries or government institutions will be interpreted to mean those ministries and other central government bodies who develop and propose strategies, policy papers/documents, action plans, programmes, legislation and other policy documents, in different policy areas.</p>		<p>0 points for 0 - 29.9%, 1 point for 30 - 59.9%, 2 points for 60 - 100%</p> <p>Maximum points: 2</p>	
Element #	Element formulation	Weight	Element data source
Element E.5	<p>Representatives of relevant ministries participate in policy dialogue (discussions, round tables, closed door meetings, etc.) pertaining to specific policy research products.</p>	1	<ul style="list-style-type: none"> • Survey of CSOs •
Element methodology		Point allocation	
<p>For this element, the reported frequency at which the following statement occurs "<i>When invited by my organisation, representatives of relevant government institutions participate in the events (i.e. roundtables, discussions etc.) organised to promote our policy products (i.e. reports, policy briefs etc.)</i>" is</p>		<p>0 points for 0 - 29.9%, 1 point for 30 - 59.9%, 2 points for 60 - 100%</p>	

	<p>analysed. The percentage of respondents that answered "Always" or "Often" on a verbal five-point scale is measured.</p> <p>Scale: 1 – Never; 2 – Rarely; 3 – Sometimes (in about half of the situations); 4 – Often; 5 – Always.</p> <p>Relevant ministries will be interpreted to mean ministries and other central government bodies who develop and propose strategies, policy papers/documents, action plans programmes, legislation and other policy documents, whose responsibility pertains to specific policy topics the policy dialogue is about (or focuses on).</p>		<p>Maximum points: 2</p>
Element #	Element formulation	Weight	Element data source
Element E.6	<p>Representatives of wide range of CSOs, such as think tanks, independent institutes, locally-based organisations are invited to participate in working groups/ task forces for drafting policy or legislative proposals when they have specific proposals and recommendations based on evidence.</p>	2	<ul style="list-style-type: none"> Survey of CSOs
Element methodology		Point allocation	
<p>For this element, the reported frequency at which the following statement occurs "<i>Relevant ministries (i.e. those who chair the working groups and those who participate in them) invite my organization to participate in working groups/ task forces for drafting policy or legislative proposals, when we have specific evidence-based proposals and recommendations</i>" is analysed. The percentage of respondents that answered "Always" or "Often" on a verbal five-point scale is measured.</p> <p>Scale: 1 – Never; 2 – Rarely; 3 – Sometimes (in about half of the situations); 4 – Often; 5 – Always.</p>		<p>0 points for 0 - 29.9%, 1 point for 30 - 59.9%, 2 points for 60 - 100%</p> <p>Maximum points: 4</p>	
Element #	Element formulation	Weight	Element data source
Element E.7	<p>Relevant ministries in general provide feedback on the evidence-based proposals and recommendations of the wide range of CSOs, such as think tanks, independent institutes, locally-based organisations which have been accepted or rejected, justifying either action.</p>	1	<ul style="list-style-type: none"> Survey of CSOs
Element methodology		Point allocation	
<p>For this element, the reported frequency at which the following statement occurs "<i>Relevant ministries provide feedback explaining the reasons on either the acceptance or rejection of evidence-based proposals and recommendations coming from my organization during the participation in the working group</i>" is analysed. The percentage of respondents that answered "Always" or "Often" on a verbal five-point scale</p>		<p>0 points for 0 - 29.9%, 1 point for 30 - 59.9%, 2 points for 60 - 100%</p> <p>Maximum points: 2</p>	

	<p>is measured.</p> <p>Scale: 1 – Never; 2 – Rarely; 3 – Sometimes (in about half of the situations); 4 – Often; 5 – Always.</p> <p>Relevant ministries or government institutions will be interpreted to mean the ministries and other central government bodies who develop and propose strategies, policy papers/documents, action plans programmes, legislation and other policy documents in different policy areas.</p>						
Element #	Element formulation	Weight	Element data source				
Element E.8	Ministries accept CSOs' policy proposals in the work of working groups for developing policies and legislation	2	<ul style="list-style-type: none"> Survey of CSOs 				
	Element methodology		Point allocation				
<p>For this element, the reported frequency at which the following statement occurs "<i>Relevant ministries generally consider the policy proposals made by my organisation</i>" is analysed. The percentage of respondents that answered "Always" or "Often" on a verbal five-point scale is measured.</p> <p>Scale: 1 – Never; 2 – Rarely; 3 – Sometimes (in about half of the situations); 4 – Often; 5 – Always.</p> <p>Relevant ministries or government institutions will be interpreted to mean the ministries and other central government bodies who develop and propose strategies, policy papers/documents, action plans programmes, legislation and other policy documents in different policy areas.</p>		<p>0 points for 0 - 29.9%, 1 point for 30 - 59.9%, 2 points for 60 - 100%</p> <p>Maximum points: 4</p>					
TOTAL POINTS		0-5	6-8	9-12	13-16	17-19	20-24
FINAL INDICATOR VALUE		0	1	2	3	4	5